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PATENT
Customer No. 22,852
Attorney Docket No. 04012.0373-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

R. W. ESMOND et al.

Application No.: 09/394,712

Filed: September 13, 1999

For: METHOD FOR TREATING OR
PREVENTING ALZHEIMER'S
DISEASE

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)
) Group Art Unit: 1618
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) Examiner: Vickie Y. KIM
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)
) Confirmation No.: 4740
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MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

NINTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the enclosed IDS Form PTO/SB/08. This Information Disclosure Statement is being filed, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits after the Request for Continued Examination was filed on July 27, 2006.

Copies of the listed documents are enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the enclosed form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

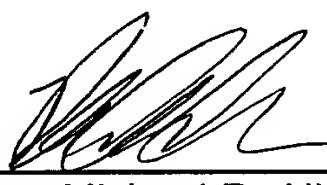
Applicants further reserve the right to take appropriate action to establish the patentability of the claimed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: October 13, 2006

By:  Reg No 47057
for Michael R. Albrecht
Reg. No. 54,956
Customer No. 22,852

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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Complete if Known

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|------------------------|---------------------|
| Application Number | 09/394,712 |
| Filing Date | September 13, 1999 |
| First Named Inventor | R. W. ESMOND et al. |
| Art Unit | 1618 |
| Examiner Name | Vickie Y. KIM |
| Attorney Docket Number | 04012.0373-00000 |

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

| Examiner Initials | Cite No. ¹ | Document Number | Issue or Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**FOREIGN PATENT DOCUMENTS**

| Examiner Initials | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation ⁶ |
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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation ⁶ |
|----------------------|--------------------------|---|--------------------------|
| | | KATZMAN et al., "Development of dementing illnesses in an 80-year-old volunteer cohort," <i>Ann. Neurol.</i> , 25:317-324 (1989). | |
| | | REIMAN et al., "Preclinical evidence of Alzheimer's Disease in persons homozygous for the ε4 allele for apolipoprotein E," <i>N. Engl. J. Med.</i> , 334:752-758 (1996). | |
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Examiner
SignatureDate
Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.